

Annexure to Directors' Report

Form No. MGT-9 EXTRACT OF ANNUAL RETURN as on the financial year ended on 31.03.2017

[Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS

- i) CIN : U65921DL1993PTC219701
ii) Registration Date : 28.04.1993
iii) Name of the Company : CSL Capital Private Limited
iv) Category/ Sub-Category : Private Company/ Limited
of the Company by Shares
v) Address of the Registered office and contact details : 8/19, Ground Floor, W.E.A.,
Pusa Lane, Karol Bagh,
New Delhi- 110005
Tel.: +91-11-42503441- 44
Fax: +91-11-4250 3444
Email: cslcapital1993@gmail.com
Website: NA
vi) Whether listed company : No
vii) Name, Address and Contact details of Registrar and Transfer Agent, if any : NA

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10% or more of the total turnover of the company shall be stated:-

S. No.	Name and Description of main Products/ Services	NIC Code of the Product/ Service	% of total turnover of the Company
1.	NBFC engaged in lending & allied services	65923	1.60
2.	Dealing in securities & other financial assets	64300	98.4



III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

S. No.	Name and Address of the Company	CIN/GLN	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section
1.	CSL Holdings Private Limited	U74899DL1992PTC049391	Associate	42.61	2(46), 2(87) of Companies Act, 2013

IV. SHAREHOLDING PATTERN (EQUITY SHARE CAPITAL BREAKUP AS PERCENTAGE OF TOTAL EQUITY)

i) Category-wise Share Holding:

Category of Shareholders	No. of shares held at the beginning of the year				No. of shares held at the end of the year				% change during the year
	Demat	Physical	Total	% of total shares	Demat	Physical	Total	% of total share	
A. Promoters									
(1) Indian									
a) Individual/ HUF	-	153030	153030	28.96	-	230170	230170	39.23	
b) Central Govt	-	-	-	-	-	-	-	-	-
c) State Govt(s)	-	-	-	-	-	-	-	-	-
d) Bodies Corp.		290000	290000	54.89		250000	250000	42.61	
e) Banks/ FI	-	-	-	-	-	-	-	-	-
f) Any Other	-	-	-	-	-	-	-	-	-
Sub Total (A) (1)		443030	443030	83.85		480170	480170	81.84	
(1) Foreign									-
a) NRIs – Individuals	-	-	-	-	-	-	-	-	-
b) Other – Individuals	-	-	-	-	-	-	-	-	-
c) Bodies Corp.	-	-	-	-	-	-	-	-	-
d) Banks/ FI	-	-	-	-	-	-	-	-	-
e) Any Other	-	-	-	-	-	-	-	-	-
Sub Total (A) (2)	-	-	-	-	-	-	-	-	-
Total shareholding of Promoter=		443030	443030	83.85		480170	480170	81.84	



(A)(1) + (A)(2)									
B. Public Shareholding									
1. Institutions									
a) Mutual Funds	-	-	-	-	-	-	-	-	-
b) Banks/ FI	-	-	-	-	-	-	-	-	-
c) Central Govt.	-	-	-	-	-	-	-	-	-
d) State Govt.(s)	-	-	-	-	-	-	-	-	-
e) Venture Capital Funds	-	-	-	-	-	-	-	-	-
f) Insurance Companies	-	-	-	-	-	-	-	-	-
g) FIs	-	-	-	-	-	-	-	-	-
h) Foreign Venture Capital Funds	-	-	-	-	-	-	-	-	-
i) Others (Specify)	-	-	-	-	-	-	-	-	-
Sub Total (B)(1)	-	-	-	-	-	-	-	-	-
2. Non-Institutions									
a) Bodies Corp.									
i) Indian	-	-	-	-	-	-	-	-	-
ii) Overseas	-	-	-	-	-	-	-	-	-
a) Individuals									
i) Individual Shareholders holding nominal share capital upto Rs. 1 Lakh	-	854340	854340	16.15	-	106533	106533	18.16	-
ii) Individual shareholders Holding nominal share capital in excess of Rs. 1 Lakh	-	-	-	-	-	-	-	-	-
a) Others (Specify) (Non Resident Indian/OCB)	-	-	-	-	-	-	-	-	-
b) Others (Clearing Member)	-	-	-	-	-	-	-	-	-
Sub Total (B)(2)	-	-	-	-	-	-	-	-	-
Total public shareholding	-	-	-	-	-	-	-	-	-
(B)=(B)(1)+(B)(2)									
C. Shares held by	-	-	-	-	-	-	-	-	-

Custodian for ADRs & GDRs									
Grand Total (A+B+C)	-	528370	528370	100.00	-	586703	586703	100	

ii) Shareholding of Promoters:

S. No.	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in Shareholding during the year
		No. of Shares	% of total shares of the company	% of shares pledged/encumbered to total shares	No. of Shares	% of total shares of the company	% of shares pledged/encumbered to total shares	
1.	Rohit Gupta	153030	28.96	Nil	230170	39.24	Nil	10.28
2.	CSL Holdings Pvt Ltd	250000	47.32	Nil	250000	42.61	Nil	(4.71)
3.	Deepak Gupta	34900	6.61	Nil	0	0	Nil	(6.61)
4.	Sat Paul Gupta	5700	1.08	Nil	0	0	Nil	(1.08)
5.	Kanta Rani	6940	1.31	Nil	0	0	Nil	(1.31)
6.	Ridhima Gupta	7200	1.36	Nil	27200	4.64	Nil	3.28
7.	Piyush Gupta	7100	1.34	Nil	0	0	Nil	(1.34)
8.	Rashi Gupta	3300	0.62	Nil	0	0	Nil	(0.62)
9.	Nexcen Softech Lt	40000	7.57	Nil	0	0	Nil	(7.57)
10.	Sat Paul Gupta & Kanta Rani	20000	3.79	Nil	0	0	Nil	(3.79)
11.	Ajay Relan	100	0.02	Nil	0	0	Nil	(0.02)
12.	Radhika Sharma	100	0.02	Nil	0	0	Nil	(0.02)
13.	Rachita Gupta	0	0	Nil	21000	3.57	Nil	3.57
14.	Kanishka Jain	0	0	Nil	58333	9.94	Nil	9.94

iii) Change in Promoters' Shareholding:

S. No.		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of	% of total	No. of	% of total

	No. of Shares	% of total shares of the company	No. of shares	% of total shares of the company
At the beginning of the year	443030	83.85%	480170	81.85%
Date wise increase/ decrease in promoter's shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment/ transfer/ bonus/ sweat equity etc.)		77140 shares Purchased by Mr. Rohit Gupta on 6 th May, 2016		
At the end of the year			480170	81.85%

iv) Shareholding pattern of top ten shareholders (other than directors, promoters and holders of GDRs and ADRs):

S.no.	Name	Shareholding		Date	Increase/ Decrease in shareholding	Reason	Cumulative shareholding during the year (01.04.2016-31.03.2017)	
		No. of Shares at the beginning of the year (01.04.2014)/ end of the year (31.03.2015)	% of total shares of the company				No. of Shares	% of total shares of the company
1	Nexcen Softech Ltd	40000 0	7.57 0	01.04.2016 31.03.2017	(40000)	Shares Sold	0	0
2	Sat Paul Gupta & Kanta Rani	20000 0	3.79 0	01.04.2016 31.03.2017	(20000)	Shares Sold	0	0
3	Rachita Gupta	0 21000	0 3.57	01.04.2016 31.03.2017	21000	Shares Purchased	21000	3.57
4	Kanishka Jain	0 58333	0 9.94	01.04.2016 31.03.2017	58333	Shares Purchased	58333	9.94
5	Ridhima Gupta	7200 27200	1.36 4.64	01.04.2016 31.03.2017	20000	Shares Purchased	27200	4.64
6	Piyush Gupta	7100 0	1.34 0	01.04.2016 31.03.2017	(7100)	Shares Sold	0	0
7	Kanta Rani	6940 0	1.31 0	01.04.2016 31.03.2017	(6940)	Shares Sold	0	0
8	Sat Paul Gupta	5700 0	1.08 0	01.04.2016 31.03.2017	(5700)	Shares Sold	0	0
9	Rashi Gupta	3300 0	0.62 0	01.04.2016 31.03.2017	(3300)	Shares Sold	0	0
10	Ajay Relan	100 0	0.02 0	01.04.2016 31.03.2017	(100)	Shares Sold	0	0



		0	0	31.03.2017		Sold		
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v) Shareholding of Directors and Key Managerial Personnel:

S. No		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
	For Each of Directors and KMP	No. of Shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year	187930	35.58%	230170	39.24%
	Date wise increase/ decrease in promoter's shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment/ transfer/ bonus/ sweat equity etc.)		77140 shares Purchased by Mr. Rohit Gupta on 6 th May, 2016		34900 shares sold by Mr. Deepak Gupta on 6 th May, 2016
	At the end of the year	230170	39.24%	230170	39.24%

g) INDEBTEDNESS

Indebtedness of the company including interest outstanding/ accrued but not due for payment:

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the year	Nil	Nil	Nil	Nil
i) Principal Amount		NIL		NIL
ii) Interest due but not paid				
iii) Interest accrued but not due				
Total (i+ii+iii)				
Change in indebtedness during the financial year				
Addition		9403959		
Reduction				
Net Change				
Indebtedness at the end of the financial year				



i) Principal Amount		9403959		9403959
ii) Interest due but not paid				
iii) Interest accrued but not due				
Total (i+ii+iii)	Nil	Nil	Nil	9403959

*Figures provided as per balance sheet dated 31.03.2015

h) REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director:

S. No.	Particulars of Remuneration	Not Applicable			Total Amount
1.	Gross Salary (a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961 (b) Value of perquisites u/s 17(2) Income-tax Act, 1961 (c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961				
2.	Stock Option				
3.	Sweat Equity				
4.	Commission - as % of profit - others, specify				
5.	Others, please specify				
	Total (A)				
	Ceiling as per the Act				

B. Remuneration to other Directors:

S. no	Particulars of Remuneration	Name of Directors				Total Amount
	3. Independent Directors • Fee for attending board/ committee meetings • Commission • Others, please specify	Nil		NA		
	Total (I)					
	4. Other Non-Executive Directors	Nil				

	• Fee for attending board/ committee meetings • Commission • Others, please specify					
	Total (2)					
	Total (B) = (1+2)					
	Total Managerial Remuneration					
	Overall ceiling as per the Act					

C. Remuneration to Company Secretary:

S. No.	Particulars of Remuneration	Not Applicable			Total Amount
1.	Gross Salary (a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961 (b) Value of perquisites u/s 17(2) Income-tax Act, 1961 (c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961				
2.	Stock Option				
3.	Sweat Equity				
4.	Commission - as % of profit - others, specify				
5.	Others, please specify				
	Total (A)				
	Total				

i) PENALTIES/ PUNISHMENT/ COMPOUNDING OF OFFENCES

Type	Section of the Companies Act	Brief Description	Details of Penalties/ Punishment/ compounding fees imposed	Authority (RD/ NCLT/ Court)	Appeal made, if any (Give details)
A. COMPANY		Nil	N.A.		
Penalty					
Punishment					



Compounding					
B. DIRECTORS		Nil	N.A.		
Penalty					
Punishment					
Compounding					
C. OTHER OFFICERS IN DEFAULT		Nil	N.A.		
Penalty					
Punishment					
Compounding					

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